



<b>REPORT TO:</b>	Council
<b>DATE:</b>	15 January, 2024
<b>SUBJECT:</b>	Risk Management Framework
<b>PURPOSE:</b>	To seek approval for the Risk Management Framework
<b>KEY DECISION:</b>	No
<b>PORTFOLIO HOLDER:</b>	Councillor Anne Dorrian – Leader of the Council
<b>REPORT OF:</b>	John Medler, Assistant Director – Governance
<b>REPORT AUTHOR:</b>	Suzanne Rolfe, Group Manager – Insights and Transformation
<b>WARD(S) AFFECTED:</b>	All
<b>EXEMPT REPORT:</b>	No

#### **SUMMARY**

This report brings forward a partnership-wide Risk Management Framework to ensure a consistent approach across the three Councils. This is based on good practice and feedback from internal audit. It has been reported to Audit & Governance Committee and Cabinet.

#### **RECOMMENDATIONS**

To consider the recommendation of the Cabinet:

- That the Risk Management Framework at Appendix A be approved, with delegation to the Assistant Director – Governance to make any small amendments to the Framework in future in consultation with the portfolio holder.

#### **REASONS FOR RECOMMENDATIONS**

To provide an aligned and consistent approach to risk management across the Partnership.

## **OTHER OPTIONS CONSIDERED**

Do nothing. It has already been agreed by Councillors, via the Annual Delivery Plan, that a Risk Management Framework for the Partnership should be developed for consideration. As such, this option was discounted.

## **1. INTRODUCTION**

- 1.1. When the South & East Lincolnshire Councils Partnership formed in October 2021, the Partnership Business Case identified opportunities for collaboration between the Partnership Councils, including the alignment of policies where appropriate.
- 1.2. In the 2023/24 Annual Delivery Plan, approved by Council in March 2023, an action was identified to draft a Partnership-wide Risk Management Framework for consideration. Aligning the format and reporting process for risks across the three sovereign Councils will ensure that risk management continues to be efficient and effective across the Partnership.
- 1.3. This report brings forward the Risk Management Framework for approval.

## **2. REPORT**

- 2.1. A revised Risk Management Framework has been drafted for the Partnership at Appendix A.
- 2.2. The Framework brings together risk management good practice from all three Councils and across the sector.
- 2.3. As part of the recent internal audit of risk, our internal auditors reviewed the draft and suggested improvements to wording and formatting which have been incorporated into the final document.
- 2.4. The draft has been reported to Audit & Governance Committee on 20<sup>th</sup> November 2023 and Cabinet on 13<sup>th</sup> December 2023, with no further comments or amendments made.

## **3. CONCLUSION**

A single Risk Management Framework is a natural next step for the Partnership to ensure a consistent approach and to incorporate good practice.

The Framework will support the Council to manage its services in an effective and efficient manner and to ensure good governance is in place.

## **EXPECTED BENEFITS TO THE PARTNERSHIP**

A Partnership approach has been agreed for 2023/24.

## **IMPLICATIONS**

### **SOUTH AND EAST LINCOLNSHIRE COUNCILS PARTNERSHIP**

A Partnership approach has been agreed for 2023/24.

### **CORPORATE PRIORITIES**

Whole report

### **STAFFING**

None specific to this report.

### **CONSTITUTIONAL AND LEGAL IMPLICATIONS**

None specific to this report.

### **DATA PROTECTION**

None specific to this report.

### **FINANCIAL**

None specific to this report.

### **RISK MANAGEMENT**

Whole report

### **STAKEHOLDER / CONSULTATION / TIMESCALES**

Consultation with SLT, Internal Audit and Audit & Governance Committee.

### **REPUTATION**

None specific to this report.

### **CONTRACTS**

None specific to this report.

### **CRIME AND DISORDER**

None specific to this report.

### **EQUALITY AND DIVERSITY/ HUMAN RIGHTS/ SAFEGUARDING**

None specific to this report.

### **HEALTH AND WELL BEING**

None specific to this report.

### **CLIMATE CHANGE AND ENVIRONMENTAL IMPLICATIONS**

None specific to this report.

## LINKS TO 12 MISSIONS IN THE LEVELLING UP WHITE PAPER

<b>MISSIONS</b>	
<b>This paper contributes to the follow Missions outlined in the Government’s Levelling Up White paper.</b>	
<b>Living Standards</b>	By 2030, pay, employment and productivity will have risen in every area of the UK, with each containing a globally competitive city, with the gap between the top performing and other areas closing.
<b>Research and Development</b>	By 2030, domestic public investment in Research & Development outside the Greater South East will increase by at least 40% and at least one third over the Spending Review period, with that additional government funding seeking to leverage at least twice as much private sector investment over the long term to stimulate innovation and productivity growth.
<b>Transport Infrastructure</b>	By 2030, local public transport connectivity across the country will be significantly closer to the standards of London, with improved services, simpler fares and integrated ticketing.
<b>Digital Connectivity</b>	By 2030, the UK will have nationwide gigabit-capable broadband and 4G coverage, with 5G coverage for the majority of the population.
<b>Education</b>	By 2030, the number of primary school children achieving the expected standard in reading, writing and maths will have significantly increased. In England, this will mean 90% of children will achieve the expected standard, and the percentage of children meeting the expected standard in the worst performing areas will have increased by over a third.
<b>Skills</b>	By 2030, the number of people successfully completing high-quality skills training will have significantly increased in every area of the UK. In England, this will lead to 200,000 more people successfully completing high-quality skills training annually, driven by 80,000 more people completing courses in the lowest skilled areas.
<b>Health</b>	By 2030, the gap in Healthy Life Expectancy (HLE) between local areas where it is highest and lowest will have narrowed, and by 2035 HLE will rise by 5 years.
<b>Wellbeing</b>	By 2030, well-being will have improved in every area of the UK, with the gap between top performing and other areas closing.
<b>Pride in Place</b>	By 2030, pride in place, such as people’s satisfaction with their town centre and engagement in local culture and community, will have risen in every area of the UK, with the gap between the top performing and other areas closing.
<b>Housing</b>	By 2030, renters will have a secure path to ownership with the number of first-time buyers increasing in all areas; and the government’s ambition is for the number of non-decent rented homes to have fallen by 50%, with the biggest improvements in the lowest performing areas.
<b>Crime</b>	By 2030, homicide, serious violence, and neighbourhood crime will have fallen, focused on the worst-affected areas.
<b>Local Leadership</b>	By 2030, every part of England that wants one will have a devolution deal with powers at or approaching the highest level of devolution and a simplified, long-term funding settlement.

**APPENDICES**

Appendices are listed below and attached to the back of the report: -

APPENDIX A	Risk Management Framework
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**BACKGROUND PAPERS**

No background papers as defined in Section 100D of the Local Government Act 1972 were used in the production of this report.

**CHRONOLOGICAL HISTORY OF THIS REPORT**

<b>Name of body</b>	<b>Date</b>
Audit & Governance Committee	20 November, 2023
Cabinet	13 December, 2023

**REPORT APPROVAL**

Report author:	Suzanne Rolfe, Group Manager – Insights and Transformation
Signed off by:	John Medler – Assistant Director – Governance
Approved for publication:	Councillor Anne Dorrian – Leader of the Council