

Audit & Governance Committee self-assessment questionnaire

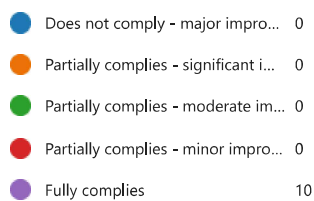
10 Responses

74:32 Average time to complete

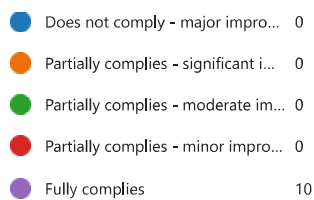
Closed Status

1. Do the committee's terms of reference explicitly address all the core areas identified in CIPFA's Position Statement as follows?

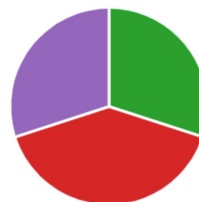
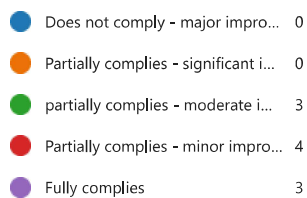
- Governance arrangements
- Risk management arrangements
- Internal control arrangements, including: financial management, value for money, ethics and standards, counter fraud and corruption
- Annual governance statement
- Financial reporting
- Assurance framework
- Internal audit
- External audit



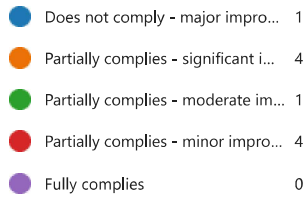
2. Over the last year, has the committee only considered agenda items that align with its core functions or selected wider functions, as set out in the 2022 guidance?



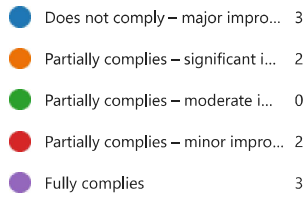
3. Has the committee met privately with the external auditors and head of internal audit in the last year?



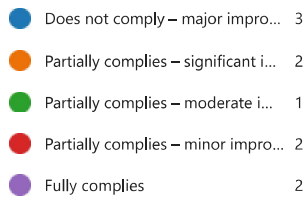
4. Has an evaluation of knowledge, skills and the training needs of the chair and committee members been carried out within the last two years?



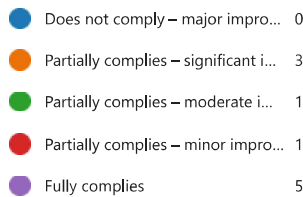
5. Have regular training and support arrangements been put in place covering the areas set out in the 2022 guidance?



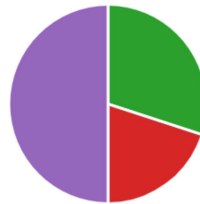
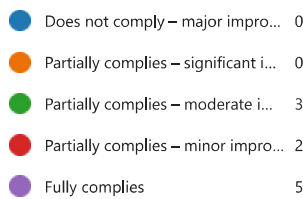
6. Across the committee membership, is there a satisfactory level of knowledge, as set out in the 2022 guidance?



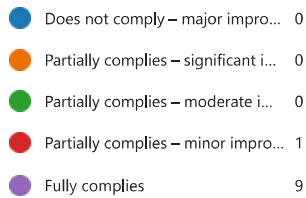
7. Does the committee have good working relations with key people and organisations, including external audit, internal audit and the CFO?



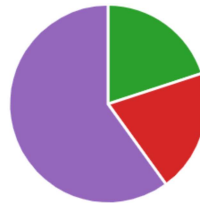
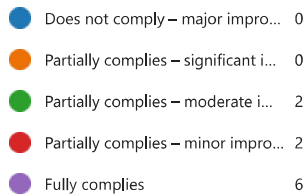
8. Has the committee obtained positive feedback on its performance from those interacting with the committee or relying on its work?



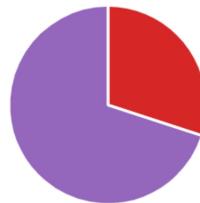
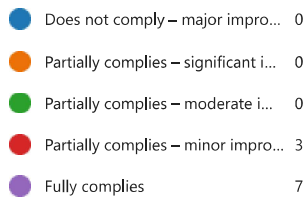
9. Are meetings well chaired, ensuring key agenda items are addressed with a focus on improvement?



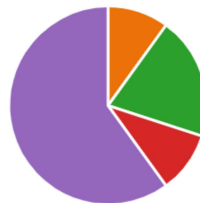
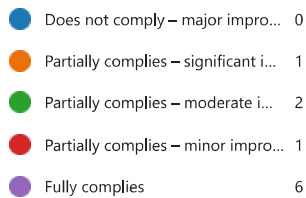
10. Are meetings effective with a good level of discussion and engagement from all the members?



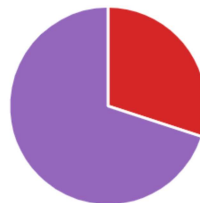
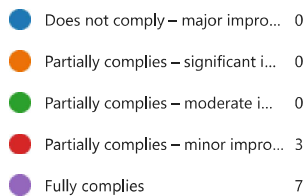
11. Has the committee maintained a non-political approach to discussions throughout?



12. Does the committee engage with a wide range of leaders and managers, including discussion of audit findings, risks, and action plans with the responsible officers?



13. Does the committee make recommendations for the improvement of governance, risk and control arrangements?



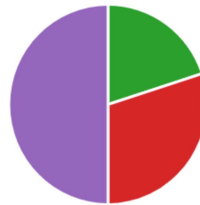
14. Do audit committee recommendations have traction with those in leadership roles?

- Does not comply – major impro... 0
- Partially complies – significant i... 1
- Partially complies – moderate i... 3
- Partially complies – minor impro... 3
- Fully complies 3



15. Has the committee evaluated whether and how it is adding value to the organisation?

- Does not comply – major impro... 0
- Partially complies – significant i... 0
- Partially complies – moderate i... 2
- Partially complies – minor impro... 3
- Fully complies 5



16. Does the committee have an action plan to improve any areas of weakness?

- Does not comply – major impro... 3
- Partially complies – significant i... 1
- Partially complies – moderate i... 2
- Partially complies – minor impro... 1
- Fully complies 3



17. Please provide any further information / comments you would like to be considered in the space below.

7
Responses

Latest Responses

"The meetings are well attended. The questions asked are wide ranging and f...

4 respondents (57%) answered **Training** for this question.

